VALLEY LAWYER

SEPTEMBER 2008 • \$4

A Publication of the San Fernando Valley Bar Association

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A Year in Review



SUE BENDAVID SFVBA President

HIS IS MY LAST PRESIDENT'S
Message. As I sit back and review
this past year, I am in awe over
how much our Bar Association
accomplished and how busy it has been
over the past 12 months. Our
Committees and Sections have been
extremely active. Our Membership &
Marketing Committee planned several
well-attended networking events, from
the softball game at the Van NuysSherman Oaks Park, to the cocktail party
at Gordon Biersch in Burbank.

Our Diversity Committee reached out to elementary schools and put on Law Day plays for *hundreds* of children, who enjoyed watching us pretend to be Snow White and the Wicked Queen. Our Diversity Committee also created the new Law & Government Explorer Post for high school students interested in legal careers. The Bar's Committees continue to plan future events we can all look forward to attending.

The Bar's Section meetings have also been very successful. The Sections attracted hundreds of attendees at monthly MCLE events. We received nothing but positive feedback about speakers and the events in general. We also received positive feedback about our new on-line library and the Fee Arbitration program, which is growing.

Many of us fondly remember Judges' Night and the Foundation's Gala which were not only fun, but also helped members foster closer relations with members of the Bench, as well as support charitable causes through fundraising efforts. We also continued our Bench-Bar activities by having monthly meetings and are partnering with Bench officers to improve jury service and to do what we can to alleviate symptoms caused by the Court's financial crisis.

Thankfully, we have many events to look forward to in the coming months. Our Golf Tournament is scheduled to

take place October 13, 2008 at Braemar Country Club. And, our Membership & Marketing Committee has planned even more networking events with business professionals. So stay tuned!

The list of our Bar's accomplishments goes on and includes our Attorney Referral Service, the Conference of Delegates, our public service activities like the Senior Centers, and our partnership with the Foundation, headed up this year by Marcia Kraft.

I'm grateful I was given the opportunity to take part in these activities and that I can continue to participate. I'm also appreciative of the hard work from Bar leaders, committee chairs and staff members who helped make all this possible.

Please accept this final note as a message of sincere gratitude to all of you, the members of our Bar Association, who not only support this organization, but also gave me the opportunity to serve as President this past year. Thank you.

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From the Editor

For questions, comments or candid feedback regarding Valley Lawyer, please contact Angela at (818) 227-0490 ext. 109 or via email at Angela@sfvba.org.



ANGELA M.
HUTCHINSON
Editor

Greetings members!

I truly hope you had a restful summer. Rest is something my husband Arthur and I were delightfully deprived of due to the arrival of our first child. At 12:54 p.m. on Tuesday, June 17, 2008 Alexander Paul Hutchinson was born at 8 pounds, 6 ounces and 21.5 inches long. Excited to join the SFVBA family, Baby Alexander sends a curious hello to you in the adjacent photo. As I embark on this journey of motherhood, I am reminded of the kind support extended to me from the SFVBA throughout my pregnancy...thank you again.

Also, I appreciate the positive and insightful feedback regarding the new *Valley Lawyer*. Please continue to send comments and article ideas. This month's

issue includes commentary on several legal topics with a focus on SFVBA's Year in Review, which includes the Installation of New Officers. On behalf of the Bar's staff, congrats is extended to the newly elected Board and sincere gratitude to the past leadership.

Although not quite a year for me, working for the San Fernando Valley Bar Association has been awesome. I have had the privilege to interact with great members, community leaders, consultants and co-workers. In addition to my duties as Editor of *Valley Lawyer*, I was also administering the Mandatory Fee Arbitration (MFA) program as the Programs Assistant for the Bar. Now that our new Member Services Coordinator has been assigned to oversee the MFA



Baby Alexander
10 lbs. at 2 weeks old

program, I have been promoted to Communications Manager.

Recently, Liz and I met to discuss overall communication goals for the SFVBA. As you may recall, *Valley Lawyer* is just the beginning phase of our innovative efforts to enhance the value of SFVBA membership. In the coming months, you can expect to receive a monthly electronic newsletter, which will be titled *Bar Notes* in honor of our old newsletter.

As Editor of *Valley Lawyer* and Communications Manager for the SFVBA, I genuinely look forward to creating and collaborating on mediarelated projects that will not only help Bar members stay connected to one another, but also engage the Valley community.

Have a productive month!



Angela M. Hutchinson



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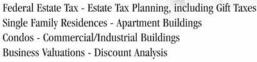
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ROSIE SOTO
Director of
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N 1948 THE SAN FERNANDO VALLEY BAR

Association's leadership established the Lawyer Reference Service, now known as the Attorney Referral Service. Sixty years later, as the fiscal year comes to a close, ARS members and staff demonstrate their commitment to serving the public and

maintaining the ARS by their exemplary work that continues to expand the ARS and its success.

With 25 lawyer referral programs certified by the State Bar of California in Los Angeles County and Ventura County in 2008, "The San Fernando Valley Bar Association is a voluntary local bar association dedicated to creating a more inclusive legal profession by actively developing and participating in programs designed to improve diversity."

and countless online and local companies attempting to operate referral programs, the ARS continues to shine. The ARS' focus is always to maintain the high regard the service enjoys from attorney members and the public.

The ARS' membership continues to grow remarkably. A slow and steady increase of members is normal for the ARS. What is most remarkable and keeps the ARS buzzing in the Valley is the increase of coverage, particularly in certain areas of the law, geographical locations and diversity of ARS members.

The ARS has always been committed to finding new ways to help serve the community and to improve the services already provided. Clients who have used the service are asked to take a few moments to complete a survey and comment on the services received from the ARS representative and the referred attorney. With a remarkable survey response of 25%, the ARS is able to monitor and maintain a high quality of service.

This fiscal year, the ARS sponsored some notable community outreach programs. The Valley Cultural Center Concerts in the Park sponsorship allowed ARS to promote its services to the greater San Fernando Valley at the Annual July Fourth Fireworks Extravaganza and Malo Concert. The SFVBA and ARS were well represented by its members and staff: Sharley Allen, Michael Cohen, Michael Harrison, John Laurie, Gayle Linde, Michele Morley, Mary Soto, and Jack Glantz, playing with the LA Pierce Symphonic Winds.

As a result of the Bar's newly adopted diversity statement, came another sponsorship opportunity. The ARS is the official sponsor of the Law and Government Explorer Post 1926. This program is underway with an inaugural group of youth who have interest in law and government. In cooperation with Learning for Life and Lewitt Hackman law firm, the SFVBA committee members are committed to provide the participating youth with the opportunity to explore the world of law and

government as a career choice by participating in law and government activities. Law and Government Explorer Post 1926 will be involved in a variety of programs and projects featuring training, skill development, leadership and service.

In addition to supporting diversity, the ARS continues to

maintain programs such as the Senior Citizen Legal Program, Modest Means Program, Limited Scope Representation in Family Law, Legal Grind, the Bankruptcy Self-Help project, Speaker Bureau and Daily News "Ask the Lawyer" column.

For information on these programs and how to get involved, contact Director of Public Service Rosie Soto, at referrals@sfvba.org or (818) 227-0490 ext. 104.



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Announcements



Attorneys Gerald Gerstenfeld and Alvin Rosenbloom are seeking out SFVBA members and other individuals working in the legal field who are accomplished

musicians and are interested in performing together.

Gerstenfeld believes "there are some fine musicians who are active and retired members of the Association who might be interested in forming a quartet, a wind or brass quintet, or if there are enough musicians, a small orchestra.

"The group would give complimentary concerts at lunch time in the SFVBA conference room to other members who enjoy music."

Gerstenfeld is a classical music aficionado and Rosenbloom is accomplished on the french horn and plays with the Los Angeles Doctors Symphony Orchestra.

Interested members can contact Jerry at (818) 990-6190 or jerryfg@aol.com and Al at (818) 379-7010 or alvindr@mindspring.com.



Judge Darlene Schempp is retiring from Los Angeles Superior Court after 24 years on the bench. There will be a dinner honoring her years of dedication to

the court on the evening of Tuesday, September 23, 2008 at the Woodland Hills Country Club.

The cost to attend is \$60; seating is limited. RSVPs are being accepted until September 16. No walk-ins accepted.

To reserve your seat, call Julie Martin at (818) 374-2670.



The Santa Clarita Valley Bar Association is proud to present its Fourth Annual Law Appreciation Day on October 3, 2008 at the Valencia Hyatt.

Invited Guests include Supervisor Michael Antonovich, District Attorney Steve Cooley, Sheriff Lee Baca and Congressman Buck McKeon.

Law Appreciation Day supports local heroes and fosters communication and teamwork within our various community, legal and law enforcement areas.

For information on purchasing tickets or sponsorships, visit www.scvbar.org, email to scvbalawday@live.com or call Caryn Sanders at (661) 362-0788.

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Court News

REFUNDING GRADUATED PROBATE FILING FEES

In Re Estate of Claeyssens (161 CA 4th 465)

- 1. OPEN CASES: For open cases commenced on or after August 18, 2003, and before January 1, 2008, where an estimated graduated filing fee was paid at the time the initial petition was filed, request for refund of the graduated filing fees in excess of the base filing fee, plus local court add-ons, may be made as follows:
 - A. The request for refund must be made by the personal representative, unless he/she was appointed on the petition of a subsequent filer. In such cases, the request for refund must be made by the unsuccessful first filer.
 - B. The appropriate party may present his/her request for refund as follows:
 - 1. Submit LASC form "Request for Refund of Graduated Filing Fees." Requests for refund will be processed by the Court in the same manner as "drop-off" ex parte petitions.
 - 2. File a separate petition requesting the refund. A filing fee of \$40.00 will be charged pursuant to Government Code section 70657(a).
 - 3. Include the request for refund in the petition for final distribution. If a request is made in the petition for final distribution, no additional fee is required, Government Code sections 70657.5(b)(2) and 70658(d)(3).
- II. CASES WHERE THE COURT HAS ORDERED FINAL DISTRIBUTION: The party claiming entitlement to a refund must file appropriate petition(s) and or motion(s) as required.

III. ALL PETITIONS/ORDERS FOR REFUNDS OF GRADUATED FILING FEES:

- A. Petitions requesting refunds of graduated filing fees must include:
 - 1. The date the initial (first) Petition for Probate was filed;
 - 2. The name(s) of the initial (first) filer(s) of the Petition for Probate:
 - 3. The name(s) of the personal representative(s), if different from the petitioner;
 - 4. The calculation of amount to be refunded as follows: the total graduated filing fee paid less the amount of the base filing fee plus local add-ons;
 - 5. Date of payment and the receipt number; and
 - 6. The name and address of the persons to whom the refund should be paid.
- B. After the court approves the request, the party must submit LASC form "Request for Refund of Graduated Filing Fees" and attach a copy of the court's order allowing the refund.

IV. DEFINITIONS:

- A. **OPEN CASE:** An open case is one where final distribution has not been ordered by the court.
- B. **FINAL DISTRIBUTION**: Final distribution is ordered by the court when there is a court minute order approving the petition for final distribution.
- C. BASE FEE: The base fee, plus local add-ons, differed based upon the year of filing. The base fees plus local add-ons for each filing year are as follows:

8/17/03 to 12/31/03	\$244.50
1/1/04 to 6/30/04	\$271.50
7/1/04 to 12/31/04	\$272.50
2005	\$274.50
2006	\$320.00
2007	\$320.00



COLUMBUS DAY GOLF TOURNAMENT

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GOLFER'S PLAYER PACKAGE

□ \$225 Purchase by September 7; \$250 after September 7□ \$150 Sitting Judge

Includes green fees, cart, tee gifts, beverages, BBQ luncheon and awards reception and dinner.

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□ Eagle Sponsor

\$4,000

Includes two golf foursome packages, 2 additional tickets for awards dinner for a table of 10, on-course beverage station with sign, sign at tee, name/logo prominently displayed in promotional material and banner.

☐ Birdie Sponsor

\$2,500

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☐ Hole-in-One Sponsor →

CAR PRIZE!

\$1,500

Hit a Hole-In-One on a par 3 hole and win a vacation. On one surprise hole, you can win a car sponsored by Galpin Ford. We will place sponsorship signs on every par 3 hole on course. Includes 2 tickets to luncheon and awards dinner. (Only 4 sponsors)

☐ Tee Sponsor

\$500

By sponsoring a tee/green sign on the course your firm or company can show your support for the SFVBA's good works. You may hand out gifts and information to the golfers at your sponsored hole. Includes 1 ticket to luncheon.

OTHER SPONSORSHIP OPPORTUNITIES*

□ Lunch Sponsor

\$2,500

Let us announce your generosity in "picking up the tab" for the BBQ lunch. We will place sponsorship signs at the lunch site and give you a table for you to hand out gifts and information to the golfers. Includes 2 tickets to luncheon and awards dinner. (Only 1 sponsor)

□ Cocktail Reception Sponsor

\$2,000

The awards reception will be a fun filled event! We will place sponsorship signs on the bar. Includes 2 tickets to awards dinner. (Only 1 sponsor)

☐ Photo Sponsor

\$1,500

Every golfer will receive a framed photo with a picture of their foursome and 4 shots of each golfer. Your logo will be included on the frame. Includes 2 tickets to luncheon and awards dinner. (Only 3 sponsors)

□ Putting Contest Sponsor SOLD

\$1,000

We'll display a sign at the putting contest showing your support.

We'll mention your sponsorship when we announce the winner of the putting contest. Includes 2 tickets to luncheon.

(Sponsored by Seymour I. Amster)

■ Beverage Station Sponsor

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Sponsor a favorite golfer spot! We will acknowledge your sponsorship by placing a sign at one beverage station and you may hand out gifts to the golfers at your sponsored hole. Includes 2 tickets to luncheon. (Only 4 sponsors)

* All sponsors receive recognition on the SFVBA website and in Valley Lawyer magazine and acknowledgment at awards dinner.

PLAYER ENTRY AND SPUNSURSHIP REGISTRATION

If you do not have a foursome, we will pair you. Non-attorney golf pros are prohibited from participating in contests and are ineligible to compete in individual or team awards

Player:		Handicap:
Firm:		
Ph.:	E-mail:	
Player:	War A Park	Handicap:
Firm:		- 10 M3
Ph.:	E-mail:	
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Attorney Referral Service and Fastcase Law Library.

Proceeds from the Golf Tournament financially support SFVBA programs benefitting the community and the profession, including Blanket the Homeless, Valley Community Legal Foundation Courthouse Children's Waiting Rooms, Diversity Project, Fee Arbitration Program,

Qty	Description (✓ above)		Total
	\$250 Golfer Player Package After Sept	ember 7 \$_	
	\$225 Golfer Player Package Before Se	ptember 7 \$_	1
	\$150 Sitting Judge	\$_	
	\$80 Non-Player Day at Club (Includes tennis, use of facilities, pool, beverages	s and meals)	130
	Sponsorship:	\$_	333
Sponsor	Firm/Company:		
Sponsor	Contact:	Ph.:	
Please o	harge my credit card for: \$		
Cardholo	der Name:		
Credit Ca	ard No.:	Exp. Date	1

Make checks payable to SFVBA. Return registration and payment to 21250 Califa Street; Suite 113, Woodland Hills, CA 91367 or fax to 818.227.0499. For more information about the tournament and sponsorship opportunities, contact 818.227.0490 ext. 105.



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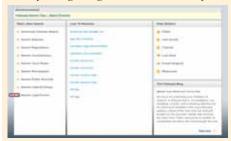
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HE SAN FERNANDO VALLEY
Bar Association 2008-2009 Board
of Trustees will be installed by
Los Angeles Superior Court Judge Alice
Hill at the SFVBA's Installation Gala on
September 20, 2008. Outgoing
president Sue Bendavid will pass the
gavel to Tamila C. Jensen.

Jensen's cabinet of officers consists of Robert F. Flagg, President-Elect; Seymour I. Amster, Secretary; and Alan J. Sedley, Treasurer. Six open trustee seats will be announced on Election Day, September 10, 2008.

Join the San Fernando Valley Bar Association and the Valley Community Legal Foundation in celebrating these new leaders at the Installation Gala on September 20, at the Woodland Hills Hilton.

Tamila C. Jensen, President

"As president of the San Fernando Valley Bar Association, I will be committed to representing the interests of the membership and to be responsive to their needs, whether they are solo practitioners, as I am, or in a large firm."

Born in Sanger, California, Jensen attended University of California at

Berkeley and graduated as class of 1969 Magna Cum Laude. She attended the School of Law at the University of California at Davis, class of 1973. That year, Jensen was admitted to practice law in California. She has worked as an Assistant Professor for the School of Business at Indiana University and as a Visiting Professor for the School of Law at Debrecen University in Hungary. Jensen is a member of California Women Lawyers, SFVBA, LACBA and Granada Hills Chamber of Commerce. Also, Jensen in the author of several published articles and cases.

Jensen appreciates the services, support and opportunities that the SFVBA brings to attorneys in the Valley. She has been an active member, serving as a Trustee and Officer for eight years, and participating on the Bench-Bar Committee, the Resolution Committee, and the Probate & Estate Planning Section. Jensen is committed to the SFVBA, to the needs of the attorney members, and to the Valley courts and community.

Robert Flagg, President Elect

"Join me, and more than 2,000 other Valley lawyers, in becoming even more engaged with the legal profession, the judiciary and the whole community of our Valley."

Flagg received his Juris Doctor degree in 1981 from Humphrey's College of Law in Stockton, California. He currently practices civil litigation on behalf of defendants as a member of Early, Maslach & Van Dueck, in-house counsel for Farmers Insurance. Flagg is admitted before the U.S. District Courts, Central and Eastern Districts of California, the Ninth Circuit Court of Appeals and the United States Tax Court.

As an active SFVBA member, Flagg has served multiple terms as a Trustee. He participated in the Diversity Committee's mock trial day at Maurice Sendak Elementary School. Flagg is a firm believer in supporting the Valley community through the financial support for students and those in need through the Valley Community Legal Foundation. Flagg takes great pride in supporting the vision of the Bar's founders by providing strong involvement with the Valley courts and community.

Seymour I. Amster, Secretary

"I am honored to be nominated for the position of Secretary for this esteemed organization. I will do my utmost to continue the tradition of the great leadership provided by those who served before me. Only by continuing the success of our predecessors can the future of the Bar be insured."

Amster graduated Cum Laude from Loyola Marymount University with a BA degree in History. He earned his JD degree in 1982 from Loyola Law School, where he made the Dean's list for two years. One of Amster's proudest accomplishments is earning the title of a Criminal Law Specialist. He strictly focuses on Criminal Defense and has spent his entire life thinking of ways to defend people against criminal charges and to challenge authority. Amster is on the court appointment list for death penalty cases and has handled multiple death penalty cases.

During his service with the Bar, Amster has served as Chairman of the Programs Committee, Bench-Bar Committee and Criminal Law Section. He has held the position of Treasurer and three terms as a Trustee. Amster is a member of the Board of the Valley Community Legal Foundation and a member of the SFVBA's Diversity Committee.

Alan J. Sedley, Treasurer

"I shall tackle the tasks of Treasurer with due diligence and care, helping to ensure the financial accountability of the Bar Association to its membership."

Sedley has begun his 27^{th} year of practice. Although in his early years he focused on plaintiff tort law, Sedley has in the past ten years expanded his practice to emphasize health care law and employment law. He has been diligently providing legal service to the business and health care communities. Sedley represents physicians, hospitals and medical groups in their transactional, regulatory and operational issues.

Having served multiple terms as a SFVBA Trustee, Sedley chaired the Health Law Section and the Programs Committee, and co-chaired the Business Law, Real Property & Bankruptcy Section and the Membership & Marketing Committee. Sedley enjoys teaching law. He recently completed instruction to oncology fellows at St. Johns Hospital through a series of lectures on health law and biomedical ethics. Sedley just celebrated his 30th wedding anniversary with his wife Debbie; they are the proud parents of three children.

Sue M. Bendavid, Immediate Past President

"I am in awe over how much our Bar Association accomplished and how busy it has been over the past twelve months. I'm appreciative of the hard work from Bar leaders, committee chairs and staff members."

Bendavid's remaining year on the Board will bring to an end serving eight years as an officer and trustee of the SFVBA. She specializes in employment litigation and counseling. She has represented employers before the U.S. Equal Employment Opportunity Commission, the California Department of Fair Employment and Housing, the California Employment Development Department, the California Labor Commissioner and the U.S. Department of Labor. Bendavid graduated Cum Laude, with honors in Political Science, in 1987 from California State University, Northridge and received her Juris Doctor degree from Loyola Law School.

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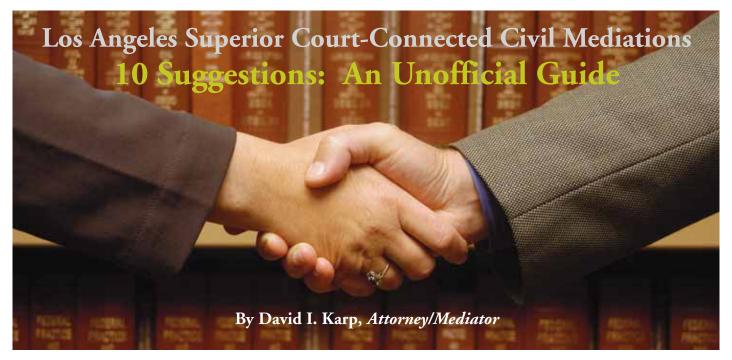
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HE FOLLOWING SUGGESTIONS have been prepared by the writer, after completion of more than 300 court-connected mediations, to assist attorneys (and their clients) with matters before the Los Angeles Superior Court.

- 1. Understand the reasons for court-connected mediation. CCP § 1775 provides the legislative findings and declarations in support of court-annexed mediation. In essence, peaceful, appropriate and cost-effective alternative dispute resolution opportunities are in the best interests of the courts. CCP § 1775 (f).
- **2.** Understand the composition of the Party Pay Panel. According to the Los Angeles Superior Court's website, "[t]he Party Pay Panel consists of Neutrals who have achieved a specified level of experience in court-connected cases. The parties (collectively) may be charged \$150 per hour for the first three (3) hours of hearing time. Thereafter, the parties may be charged for additional hearing time on an hourly basis at rates established by the mediator if the parties consent in writing."
- **3.** Understand the composition of the Pro Bono Panel. The LASC website states: "The Pro Bono Panel consists of trained Neutrals who have not yet gained the experience to qualify for the Party Pay Panel and experienced Neutrals who make themselves available pro bono."

- 4. Understand what "Pro Bono" really means. "Pro Bono" means providing mediator services without fee or expectation of fee to either "(a) persons who are indigent or of limited means or (b) charitable, religious, civic, community, governmental, and educational organizations in matters designed primarily to address the economic, health, and social needs of persons who are indigent or of limited means." See, Bus. & Prof. Code 8 6072(d); A.B.A. Model Rules of Professional Conduct, Rule 6.1(a)(1), 6.1(a)(2).
- **5.** Understand the court's view of why mediators participate in the court's mediation program. Whether volunteering on the Pro Bono Panel or providing discounted services on the Party Pay panel, mediators participate and "donate [or discount] their time to the courts as a way of supporting the judicial system," according to the LASC website.
- **6.** Understand why mediators sometimes feel abused on the Pro Bono Panel. From the experienced mediator's perspective, the Pro Bono Panel was not designed to manage the litigation budget of parties or insurers who are not indigent or of limited means. As to them, paid mediation is appropriate for experienced mediators who nevertheless offer their services pro bono to others.

7. Understand the assignment process of court-connected mediators.

Court-connected mediators choose how many assignments they are willing to accept from the court per month. Once the allocated number of assignments has been made, that mediator is no longer available for court-connected mediation. The relevant question, then, is whether the court-connected mediator has any remaining openings with the ADR office that month. Attorneys that utilize the panels of court-connected mediators should contact the ADR office first, before contacting the mediator, and seek and obtain an appointment of the sought mediator if still available. Sometimes attorneys conduct an online random search at the LASC website and then contact the mediator first to see if he or she has availability on his or her calendar. That process side-steps the question of whether the court can appoint the matter to the mediator whose allotment may have been filled already. Contacting the mediator directly first is thus incorrect for court-connected mediation. Many mediators so contacted will construe the contact as a request for private mediation.

8. Help the volunteer mediator to convene the mediation. Cooperatively work with opposing counsel to obtain dates and times for the mediation and communicate such dates and times to the court appointed mediator sooner rather than later so that the mediator can manage his or her calendar, particularly

in light of competing demands, desires and commitments for private mediation or other things. Realize also that a volunteer mediator will often seek to minimize the cost of volunteering. Asking the volunteer mediator to travel unnecessarily and/or pay for parking may cause unwelcome out of pocket costs which sometimes undermine the spirit of volunteerism.

9. Provide briefs if requested and interpreters if appropriate. A well drawn mediation brief in the form requested by the mediator reciprocates for the investment the mediator is making in the process, and an interpreter for simultaneous translation shows the mediator respect for the importance of well understood nuanced communication at the mediation table. Both will help the process immensely.

10. Use the volunteer mediator's time wisely. Most cases are not ordered to mediation; they are referred either because the amount in controversy exceeds \$50,000 and the court does not have jurisdiction to order it (CCP §1775.5) or because the court gets funding for every voluntary submission to court-connected mediation. If, for instance, the parties are absolutely intransigent and "will never settle," (or at least will not settle at a court-connected mediation), discuss this with the mediator and see if the process can tweaked or the mediation postponed or avoided. But do not wait until the last minute to cancel the mediation or continue it to another time, or there may be no court-connected mediation at all: "If the litigants request a continuance within 2 days of the ADR hearing, the neutral has no obligation to accommodate the request for a continuance and the ADR office will not appoint another neutral." ([LASC] Policy for Continuance of court ADR Hearing dated August 7, 2003.)

David I. Karp is a full time professional mediator of real estate and business disputes with offices in Van Nuys. He can be reached



at david@karpmediation.com or at (818) 781-1458.

SFVBA Columbus Day Golf Tournament After 25 Years, It's Back!



by Linda Temkin, Events Director

t has been almost 25 years since the Bar Association presented a golf tournament and it is finally back – bigger and better than ever! Please mark your calendars for this special event, **Columbus Day**, **October 13**, **2008**. The tournament will be held at the beautiful Braemar Country Club in Tarzana, one of the premier golf courses in the country.

Don't play golf? Not a problem. Come spend a day relaxing around the pool and join us for the lunch and dinner festivities.

Columbus Day is a court holiday and we expect some of our prestigious judges to be joining us on the course.

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- Sitting judges' golfer's package will be \$150.
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Sponsorship opportunities are plentiful. Galvin Ford has already generously donated a car for the lucky Hole In One winner.

Proceeds will benefit the good works and public service programs of the SFVBA. Please join SFVBA for this special event, participants will not only be supporting the Bar, but also treating oneself to a memorable and exciting day at this exceptional country club. Those who do not have partners will be paired. SFVBA member participation is greatly appreciated. And be sure to invite colleagues and friends!

For further information, please call Linda at (818) 227-0490 ext. 105.

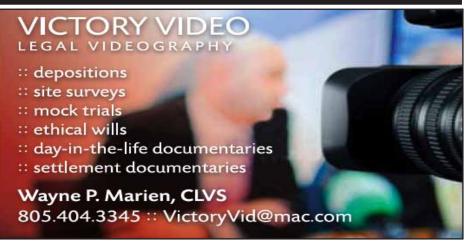
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STICKY SETTLMENT AGREEMENTS

By Steven G. Mehta



HE SPECIFIC TERMS OF settlement agreements are often overlooked by attorneys. Unfortunately, for many, those overlooked terms could be the ticket to a potential malpractice suit if the settlement falls apart. Making sure that the settlement sticks is just as important as making sure that the settlement occurs.

The Pitfalls of Settlement Agreements

The first thing to understand about settlement agreements is that they are, just like any other contract, subject to the law of contracts. In addition, regardless of whether the settlement agreement is oral or in writing, a court will not enforce a settlement agreement provision that is illegal, contrary to public policy, or unjust. As such, all settlement documents must be interpreted in the same manner as any other contract would be interpreted. In that regard, contrary to popular belief, a settlement agreement does not need to be in writing in order to be enforceable. An oral settlement agreement entered into by the parties can be enforceable, so long as it does not violate the statute of frauds.

This oral agreement would be interpreted just like any other contract. The problem, however, is that the agreement would not be enforceable under summary and expedited procedures under Code of Civil Procedure section 664.6.

Since most parties prefer to enforce the terms of the settlement pursuant to section 664.6, it is important to address what is necessary to ensure a settlement agreement is enforceable under that provision. Code of Civil Procedure section 664.6 provides that "If parties to pending litigation stipulate, in a writing signed by the parties outside the presence of the court or orally before the court, for settlement of the case" they can summarily seek to enter a judgment on the terms of the settlement.

To begin with, in order to have an enforceable agreement pursuant to Code of Civil Procedure section 664.6, the material terms of the settlement must be explicitly defined. A settlement agreement, like any other contract, is unenforceable if the parties fail to agree on a material term or if a material term is not reasonably certain. However, the fact that the parties leave unresolved terms for future agreement is not invariably fatal, since a settlement may be enforceable if the parties agree the remaining issues will be decided by arbitration. However, where the parties left the terms of the payment in dispute, and agreed to a vague term of "binding mediation" as it related to the terms of payment, the courts have held that the stipulation for settlement was unenforceable because a material term was unclear.

In order to qualify under Code of Civil Procedure section 664.6, the oral agreement must also be verbal and a nod of a head by a party is insufficient to qualify as an enforceable oral agreement under Code of Civil Procedures section 664.6. Additionally, as noted above, an "oral agreement" must be placed on the record before the court. That is to say it is not sufficient to have the oral agreement placed before a court reporter at deposition. The oral agreement by the parties must be placed on the record during a judicially supervised hearing.

An agreement entered into before an arbitrator satisfies the requirement of being a judicially supervised hearing. The same also holds true for a temporary or private judge. As to judicially appointed referees, the issue of whether or not the stipulation is enforceable depends on the type of referee appointment. If the referee is appointed under Code of Civil Procedure section 638 (a), then an oral stipulation on the record in front of such referee would be enforceable under Code of Civil Procedure section 664.6. However, if the referee is appointed under Code of Civil Procedure section 638 (b), and is not given the ability to make a final determination, then an oral stipulation on the record in front of such referee would not be enforceable under Code of Civil Procedure section 664.6.

Finally, for oral agreements before the court, the supervising judicial officer must have questioned the parties regarding their understanding of the material terms, and the parties must expressly acknowledge their understanding of and agreement to be bound by those terms.

Oral Agreements with Executed Terms in Writing

On some occasions, the parties enter into an oral agreement before the court and indicate that they will also execute the terms in writing. Once the parties have orally agreed to the terms, a party may not escape its obligations by refusing to sign a written agreement that conforms to the oral terms. The oral settlement, like any agreement, imposes upon each party a duty of good faith and fair dealing in its performance and its enforcement.

In order to meet the requirements of summary enforcement, an out of court written settlement agreement must also be signed by the parties themselves and not just their attorneys. In addition, all of the parties to the settlement agreement must sign the agreement and not just the party against whom enforcement is sought. When, however, the defendant is insured under a policy that allows the insurance company to settle without the defendant's consent, the defendant's signature is not necessary.

Nevertheless, it is still important to have the insurance company representative's signature. The reason for this is the rationale as stated in *Levy v. Superior Court:* Namely, the party that is being bound by the settlement agreement must be the one that signed the document. Therefore, since the insurance company is the one being bound by the settlement agreement, the adjustor's signature or the insurance company's representative's signature would be necessary.

Many settlement agreements will also provide that the action will be dismissed with prejudice. It is important to note that for purposes of summary enforcement pursuant to Code of Civil Procedure section 664.6, such dismissal may cause problems with the court's ability to retain subject matter jurisdiction.

Once a party has dismissed its action, the dismissal terminates the action. A superior court thereafter has no subject matter jurisdiction to grant relief under Code of Civil Procedure section 664.6 other than awarding costs and fees as appropriate. Even if the parties insert language in the settlement agreement that purports to confer jurisdiction on the court, such language is a nullity since subject matter jurisdiction is not something that can be conferred by stipulation or agreement of the parties. As such, a motion to enforce a settlement

pursuant to Code of civil procedure section 664.6 is not allowed when the parties have dismissed the lawsuit.

One alternative that may be available to the parties if the action is dismissed is to first seek a motion to set aside the dismissal pursuant to Code of Civil Procedure section 473, and then seek to enforce under Code of Civil Procedure section 664.6. Another option would be to make sure that the case has not been dismissed until all of the terms of the agreement are met.

It is also important to note that the courts have not decided whether Code of Civil Procedure 664.6 applies to settlements that become effective during the pendency of an appeal. Under that circumstance, the courts have indicated that if a case is settled while an appeal is pending, the judgment which is the basis of the appeal is vacated, and the settlement agreement supersedes the judgment. Any dispute regarding the settlement agreement must be enforced by means, as noted below, outside of Code of Civil Procedure section 664.6.

While Cal. Civ. Proc. Code § 664.6 is not the exclusive means of enforcing a settlement agreement, it is the preferred means to enforce a settlement once the foregoing prerequisites are satisfied. Even when the summary procedures of § 664.6 are not available, a settlement agreement might be enforceable by summary judgment, a suit for breach of contract, a suit in equity or it may be raised as an affirmative defense.

The fact that many cases are being settled at mediation also complicates the issue of whether not a settlement agreement is enforceable. The reason for this complication is the issue of confidentiality which is integral to the mediation process and the documents associated with it. Indeed, the confidentiality aspect of the mediation process can create a major obstacle to enforcing the settlement agreement because the settlement agreement may not be admissible to prove the settlement. Evidence code section 1119 expressly provides that subject to exceptions "all communications, negotiations or settlement discussions by and between participants in the course of the mediation or mediation consultation shall remain confidential." Often, this Evidence Code prohibition is called the "mediation privilege."

However, evidence code section 1123 provides exceptions to the

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confidentiality of mediation. A written settlement agreement prepared in the course of, during, or pursuant to mediation is not made inadmissible if the agreement is signed by the settling parties and any of the following conditions are satisfied: (1) The agreement provides that it is admissible or subject to disclosure or words to that effect; (2) the agreement provides that it is enforceable or binding or words to that effect; (3) all parties to the agreement expressly agree in writing or orally in accordance with evidence code section 1118 to the disclosure of the settlement agreement; or (4) that the agreement is used to show fraud, duress or illegality that is relevant to the issue in dispute.

Additionally, oral agreements arising from mediation are also admissible under certain conditions. The following conditions must be met in order to have an enforceable oral agreement in mediation: First, the oral agreement has to be recorded by a court reporter, tape recorder, or other reliable means of sound recording; second, the terms of the oral agreement must be recited on the record in the presence of the parties and the mediator, and the parties must express on the record that they agree to the terms recited; third, the parties to the oral agreement must expressly state on the record that the agreement is enforceable or binding or words to that effect; and finally, the recording must be reduced to writing and the writing must be signed by the parties within 72 hours after it is recorded. If, however, the oral agreement does not meet all the requirements of evidence code section 1118, it can still be admissible and subject to disclosure if it has been recorded, the parties have stated their agreement on the record, the agreement is reduced to writing within 72 hours and the parties expressly agree in another writing or oral agreement in accordance with evidence code section 1118 to disclosure of the agreement.

Other Relevant Issues for Mediation Settlement Agreements

First, where the parties have signed two different versions of the document and counterparts, the courts have held that the contract was uncertain because the parties could not agree upon which term of payment was the appropriate term.

Additionally, the issue of who is authorized to waive the confidentiality of

the mediation privilege is a procedural one. Thus, signature by counsel waiving the mediation privilege is enough to comply with the requirement of Code of Civil Procedure section 1123 for the admissibility of a mediation agreement. The case of Stewart v. Preston Pipeline, Inc. illustrates the subtle difference between enforcing a settlement under Code of Civil Procedure 664.6 versus enforcement under other procedures.

The plaintiff, in that case, was an injured motorist who attended a mediation. The plaintiff ultimately signed a settlement agreement that indicated that the agreement was intended to be an enforceable settlement agreement. However, the defendant did not sign the settlement agreement and instead it was signed by defense counsel only. Thereafter, the defendant sought to enforce the settlement by way of a motion for summary judgment.

Plaintiff contended that the agreement was not admissible because neither the defendant nor the insurer, as "settling parties," had signed the settlement agreement. The plaintiff's argument was based on the fact that the parties are required to sign the settlement agreement in order to be enforceable under Code of Civil Procedure section 664.6. The court held that the requirement of Evidence Code section 1123 that the written settlement agreement be "signed by the settling parties" does not require that a waiver of mediation confidentiality "be signed by each of the parties' litigant, so long as that written waiver is signed by each of the settling parties or their respective counsel." The court further explained that waiving the mediation privilege was a procedural issue, not a substantive right, and that was something an attorney could

Additionally, plaintiff contended that the settlement was also not enforceable because both parties had not signed the agreement. The court held that the requirement of the party specifically signing the settlement agreement is only necessary for Code of Civil Procedure section 664.6. The court further held that the settlement agreement could be enforced in alternative procedures to the expedited procedure of Code of Civil Procedure section 664.6 such as by motion for summary judgment, a separate suit in equity, or an amendment of the

pleadings. The Court also explained that just because the party had not signed on the settlement agreement did not mean that it was not enforceable settlement document. The insurance company, which was not opposing the settlement, had authorized its attorney to sign a settlement agreement and therefore, the settlement was enforceable. It is important to note that had the insurer specifically signed the settlement agreement, and not just the attorney, then the summary procedures of section 664.6 of the Code of Civil Procedure would have been available as a method of enforcing the settlement.

Make the Agreement Stick!

The settlement agreement is often one of the most important documents drafted in the litigation context. This document governs the relationship of the parties for the future and closes a chapter in the litigation book. A well drafted settlement agreement does not need to be long, complex or typed; it simply needs to make sure that it addresses the material terms and ensures that the parties have agreed to the terms.

As a matter of practice, it is advisable to ensure that the settlement agreements meet the requirements of Code of Civil Procedure section 664.6. This procedure is the most efficient means in which to enforce a settlement agreement. However, if the requirements of Code of Civil Procedure section 664.6 are not met, then it is important to understand what is necessary to ensure that the settlement will be enforceable via other means.

The safest bet is to make sure that all parties sign the settlement agreement, that it provides the material terms that are really at issue, and that it states on its face that it is enforceable and admissible as evidence of the settlement. \$\square\$

Steven G. Mehta is an attorney and mediator specializing in mediating complex and emotional disputes. He can be reached through his website at www.stevmehta.com or at (661) 284-1818.



MCLE Test No. 3

This self-study activity has been approved for Minimum Continuing Legal Education (MCLE) credit by the San Fernando Valley Bar Association (SFVBA) in the amount of 1 hour. SFVBA certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.

An oral settlement agreement is not enforceable. True False

2. A settlement agreement that has material terms left unstated will be enforceable if there is a provision in the settlement agreement for a binding mediation or binding arbitration of all the issues.

> True False

3. An oral agreement in front of the court and before a court reporter cannot be made by a nod of the head by the party.

> True False

If a party leaves ambiguity in the contract and sets forth that the disputes between the parties will be settled by arbitration, the settlement is enforceable.

> True False

5. A settlement placed on the record before an arbitrator is enforceable for purposes of CCP 664.6.

> True False

6. A settlement agreement only needs to be signed by one of the parties to be enforceable under CCP 664.6.

> True False

7. The court can enforce a settlement pursuant to CCP section 664.6 if the parties state in the settlement agreement that the court will reserve jurisdiction.

> True False

8. Evidence code section 1119 expressly provides that subject to exceptions "all communications, negotiations or settlement discussions by and between participants in the course of the mediation or mediation consultation shall remain confidential.

> True False

9. The only way a settlement agreement prepared in mediation is admissible is if a written settlement agreement prepared in the course of, during, or pursuant to mediation is signed by the settling parties and the agreement provides that it is admissible or subject to disclosure or words to that effect.

> True False

10. Counsel cannot waive the mediation privilege.

False

11. Where the parties sign two different versions of the settlement agreement, there is no enforceable settlement.

> True False

12. Any settlement agreement signed by the parties at the mediation is enforceable regardless of the language on the settlement document.

> True False

13. If the settlement agreement is not enforceable under CCP section 664.6, then it is not enforceable at all.

True

14. The courts have not addressed what effect, if any, CCP 664.6 has on a settlement during the pendency of an appeal.

> True False

15. Oral agreements arising from mediation can be enforceable under some circumstances.

16. Parties in pre-litigation can still use CCP 664.6 to enforce a settlement.

True

False

17. Parties in arbitration can use CCP 664.6 to enforce a settlement.

> True False

18. If an insurance company represents a party that does not require consent of the insured to settle, then the insured does not need to sign a settlement agreement to be enforceable under CCP 664.6.

> True False

19. If the parties enter an oral settlement agreement on the record before the judge who is the trial judge and the judge does not question the parties, the settlement is still enforceable under CCP 664.6.

True

20. Once the parties have orally agreed to the terms, a party may not escape its obligations by refusing to sign a written agreement that conforms to the oral terms. The oral settlement, like any agreement, imposes upon each party a duty of good faith and fair dealing in its performance and its enforcement.

> True False

MCLE Answer Sheet No. 3

INSTRUCTIONS:

- 1. Accurately complete this form.
- 2. Study the MCLE article in this issue.
- 3. Answer the test questions by marking the appropriate boxes below.
- 4. Mail this form and the \$15 testing fee for SFVBA members (or \$25 for non-SFVBA members) to:

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- 5. Make a copy of this completed form for your
- 6. Correct answers and a CLE certificate will be mailed to you within 2 weeks. If you have any questions, please contact our office at (818) 227-0490, ext. 105.

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ANSWERS:

Mark your answers by checking the appropriate box. Each question only has one answer.

1.	□ True	☐ True ☐ False	
2.	□ True	☐ False	
3.	□ True	☐ False	
4.	□ True	☐ False	
5.	□ True	□ False	
6.	□ True	□ False	
7.	□ True	☐ False	
8.	□ True	☐ False	
9.	□ True	☐ False	
10.	□ True	□ False	
11.	□ True	□ False	
12.	□ True	☐ False	
13.	□ True	☐ False	
14.	□ True	☐ False	
15.	□ True	☐ False	
16.	□ True	☐ False	
17.	□ True	☐ False	
18.	□ True	□ False	
19.	□ True	□ False	
20.	□ True	☐ False	

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New Members

The following joined the SFVBA May to July 2008:

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Anne A. Arabian Granada Hills (818) 399-8979 Workers' Compensation

Mario Anthony Armendariz Northridge (818) 363-1311 marmendariz@mainvestigate.com Associate Member

Alan D. Aronson Aronson Light LLP Encino (818) 783-1500 aaronson@aronsonlight.com Real Property

Stefanie M. Bennett Los Angeles (323) 644-2858 stefanie@sbennettlaw.com Family Law – Minor's Counsel

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Lazaro Cuevas Neighborhood Legal Services Pacioma (818) 374-2100 lazarocuevas@nls-la.org *Civil*

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Staff Profile

SFVBA Welcomes Jennifer Jimenez



ENNIFER JIMENEZ WOULD like to thank the San Fernando Valley Bar Association for giving her the opportunity to fill the position as Member Services Coordinator. She is appreciative of the chance to work with great people and hopes to use this experience as a learning tool.

As Member Services Coordinator, she will provide administrative support to SFVBA members, staff and the community. Also, she will take on the role of administering the Mandatory Fee Arbitration program. Jimenez hopes to be a resourceful guide to SFVBA members with questions or seeking assistance.

After graduating high school in the summer of 2005, Jimenez attended the University of Utah for two years before moving back to Southern California. While attending college, she worked as a Research Assistant for a grant-funded program sponsored by the College of Social Work and The Disability Law Center.

During that time, Jimenez decided to major in Social Work. There, she experienced first hand how rewarding and self-less it felt to help people in the community and to have a true appreciation for the work. This fueled her desire for that field. Jimenez is currently attending CSUN in pursuit of a degree in Social Work.

WILL TRAVEL"

Valley Community Legal Foundation

New Opportunities in the 2008-2009 Fiscal Year



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VCLF President

■ NTERING THE NEW FISCAL YEAR, THE
■ Foundation takes this opportunity both to thank the past
■ Roard of Trustoes for its work and to violence about the

Board of Trustees for its work and to welcome aboard the new Board of Trustees.

The 2007-2008 Board performed magnificently. Under Marcia Kraft's leadership, not only did the Board fulfill its obligations to fund Valley-centered law-related student education and to promote Valley-connected nonprofit organizations through the award of scholarships and grants, the Board on its watch also saw the Van Nuys Courthouse Children's Waiting Room come to fruition and saw the seeds sown for the building of yet a second Children's Waiting Room at the San Fernando Courthouse.

This progress occurred against the backdrop of our most successful Law Day Gala since at least 2002. The Gala, held on June 7 at CBS Studios in Studio City, raised approximately \$48,000 in net proceeds. This near record-breaking amount will be used by the new Board to continue to promote its scholarship and grant awards – and also to contribute to the funding of the San Fernando Courthouse Children's Waiting Room.

It is particularly satisfying to reflect on the success of the Gala when one considers that the event took place in the midst of what is perceived to be a troubled economy. As the Board and its committees planned the Gala, volunteers wondered aloud as to whether the event could be successful under the circumstances. With hard work, a good cause and some good fortune, the event was a great success. The event brought in new proceeds almost right on the mark with what our Gala Budget projected.

So, the incoming Board has a challenge in keeping this momentum going through the new fiscal year. Fortunately, with a capable incoming Board Officers and volunteers, it can be done.

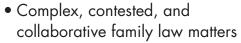
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Tracy Beavers, Vice President of Marketing
Sharley Allen, Secretary
Etan Lorant, Esq., Vice President of Scholarships
Jodi Berman-Levine, Vice President of Fundraising
Marcia Kraft, Esq., Immediate Past President

The Officers are made up both of lawyers and of lay community representatives. This is consistent with our mission to be an organization that is legal-related, yet also reaches out into the Valley community to fulfill the Foundation's charitable functions.

It is an honor to serve as President of the Foundation for the coming year. As Jack Benny once said, "I don't deserve this award, but I have arthritis and I didn't deserve that either." The Foundation's worthy goals will be advanced to the very best during this coming year.

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Calendar

San Fernando Valley Bar Association

2008 Installation Gala

Saturday, September 20, 2008

Woodland Hills Hilton Hotel

6:00 PM Cocktail Reception 7:00 PM Dinner

Entertainment Provided by Taiko Drum Ensemble

Installation of San Fernando Valley
Bar Association
President Tamila C. Jensen,
Officers and Trustees

Installation of Valley Community
Legal Foundation of the SFVBA
President Stephen T. Holzer,
Officers and Directors

Presentation of President's Award by Outgoing President Sue M. Bendavid



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For further information, please contact Linda at (818) 227-0490, ext 105.

Probate & Estate Planning Section Conservatorships: To Seek or Not to Seek

SEPTEMBER 9 12:00 NOON MONTEREY AT ENCINO RESTAURANT FNCINO

What are the pros and cons of instituting proceedings for adults of all ages? Attorney Geraldine Wyle will chart the course.

MEMBERS \$35 prepaid \$45 at the door 1 MCLE HOUR NON-MEMBERS \$45 prepaid \$55 at the door

Workers' Compensation Section AMA Requirements for Orthopedic Reports

SEPTEMBER 17 12:00 NOON MONTEREY AT ENCINO RESTAURANT ENCINO

Dr. Alan Roberts will review the latest requirements for these critical reports. What guidelines must be adhered to? Come hear Dr. Roberts discuss how best to prepare the reports, keeping in mind what you might inadvertently leave out could truly hurt you and your client in the end

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Women Lawyers Section

Welcome to the Wedding Party: Update on Same-Sex Marriages

SEPTEMBER 18 12:00 NOON SFVBA CONFERENCE ROOM WOODLAND HILLS

Attorney Wendy Hartmann will discuss how best to advise your clients regarding estate planning and other legal issues concerning same-sex couples.

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Litigation Section

How to Find and Preserve Assets in Litigation

SEPTEMBER 18 6:00 P.M. SFVBA CONFERENCE ROOM WOODLAND HILLS

Attorneys Richard Weissman and David Ray will be on hand to discuss using Receivers as an effective tool to find, control and preserve assets in all forms of pre-judgment and post-judgment litigation. A must-attend seminar for civil, family law, real property and probate attorneys.

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Santa Clarita Valley Bar Association **Foreclosures**

SEPTEMBER 18 6:00 P.M. MARIE CALLENDER'S VALENCIA

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Family Law Section

Tips for Navigating Through CCW Proceedings

SEPTEMBER 22 5:30 P.M. MONTEREY AT ENCINO RESTAURANT ENCINO

Support proceedings at Central Civil West are a whole different "animal" than those in the "regular" family law courts. Recently, according to federal mandate, the state began using a new guideline child support calculator that can only be found online and is rumored to calculate child support in Department of Child Support Services (DCSS) cases differently than DissoMaster or SupportTax. Commr. Marshall Rieger was instrumental in the development of the new program; he will discuss how to use the calculator and compare and contrast its results to our more familiar programs. Attorneys Dan Davisson and Susan Jaeger will discuss the unique procedures for obtaining release of licenses (sometimes on an ex parte basis) and other tips and pitfalls to avoid when appearing at CCW in cases in which DCSS is enforcing support orders.

MEMBERS \$35 prepaid \$45 at the door 1 MCLE HOUR NON-MEMBERS \$45 prepaid \$55 at the door

Business Law, Real Property & Bankruptcy Section

Anti-Trust Law Issues re: Business Deals and Disputes

SEPTEMBER 24 12:00 NOON SFVBA CONFERENCE ROOM WOODLAND HILLS

Expert witness economist Paul Wassan of Resolutions Economics will present a general overview of the legal issues concerning anti-trust and unfair competition that impact business transactions and commercial litigation.

MEMBERS \$30 prepaid \$40 at the door NON-MEMBERS \$40 prepaid \$50 at the door

1 MCLE HOUR

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